

APPENDIX A

Number	Date of Committee	Item	Lead	Target Date	Action	Date for action to be brought to GAC	Completed Date
1.	13 Oct 2022/ 1 Jun 23	Corporate Complaints	Chief Officer – Legal and Regulatory Services, HR and Corporate Policy	Nov 23	To prepare and submit a report on Corporate Complaints to GAC bi-annually.  The next report will be prepared for the meeting in November 2023.  The report will include consideration of ways to enrich the data set, to include such matters as the location of the complainant, under-reporting, and complaints made to Councillors (and noted in the referral system).	Nov 23	
2.	26 Jul 23	Council Tax Reduction Schemes (Detection of Fraud and Enforcement) (Wales) Regulations 2013	Chief Officer – Finance, Performance and Change	Sep 23	To contact the Welsh Local Government Association (WLGA) to see whether any discussions are going on with regards to the low threshold of fines and suggest they should be reviewed.	Sep 23	Sep 23
3.	26 Jul 23	Annual Report of the Governance and Audit Committee	Head of RIAS		To present the Governance and Audit Committee’s Annual Report.  A first draft was submitted to the September meeting. Members were asked to provide feedback within 21 days so that a final draft could be completed.	Sep 23	Nov 23
4.	26 July 23	Annual Corporate Fraud Report 2022-23	Department for Work and Pensions		That members receive a presentation, to include local issues, from the Department for Work and Pensions (DWP).	To be confirmed	
5.	1 Jun 23	Capital Programme – Governance Issues	The Chief Officer – Finance, Performance and Change/ Head of RIAS	Jul 23	A Capital Board has been established. Its first meeting will be held on 6 November.  RIAS to carry out an audit of governance issues around the Capital Programme, commencing in Q4.	April 24	
6.	28 Sep 23	Progress Against the Internal Audit Risk Based Plan 2023-24 (School Vehicles)	Head of RIAS/ Director of Education	Oct 23	The audit report and action plan are complete.  The Director of Education has written to schools about the issues raised about school vehicles.	Nov 23	Oct 23
7.	28 Sep 23	Internal Audit Recommendation Monitoring	The Chief Officer – Finance, Performance and Change	Oct 23	Appendix B of the report was referred to CMB for action.  An update on how outstanding actions have been addressed will be submitted to GAC in the next Internal Audit Recommendation Monitoring report in Jan 24.	Nov 23	Oct 23

8.	28 Sep 23	Audit Wales	Audit Wales Officers	Nov 23	<p>A number of queries were raised by Members at the September meeting:</p> <ul style="list-style-type: none"> <li>• The timeliness and availability of reports.</li> <li>• Building safety issues.</li> <li>• Tourism in the county.</li> <li>• Review of the governance of the Fire and Rescue Services in Wales</li> <li>• Audit Wales blogs.</li> <li>• Capital Programme Management.</li> <li>• Audit opinion for the accounts.</li> <li>• Thematic review of the financial stability of local authorities.</li> </ul> <p>All these issues were addressed in a submission from Audit Wales. This was distributed to Members on 18 October.</p>	Oct 23	Oct 23
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